

Within RAISE two forms are used to collect information on Safeguarding cases these are the Alert form and the Checklist/Tracker form
 The Alert form records the details relating to the initial Alert
 The Checklist records the progress through the safeguarding **referral** from the start to 28th day

Agenda item 6 - appendix ii b

Day 1 is the day of rec

CASE ACTIVITY

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
number of referrals opened	56	25	37	56	35	50	37	56	41	50	32	36
number of referrals closed	20	43	29	36	58	20	38	58	30	27	62	31
deficit	36	-18	8	20	-23	30	-1	-2	11	23	-30	5
all cases opened	67	37	47	66	62	75	54	76	78	90	57	75
all cases closed (with completed tracker/checklist)	24	45	36	39	77	34	49	71	42	38	74	47
deficit	43	-8	11	27	-15	41	5	5	36	52	-17	28

Of completed cases closed within the month

2:1 Alerter informed within 24 hrs whether alert accepted and progressing to referral (includes all records)

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
No	1	1	6	5	4	6	7	8	6	3	12	12
Yes	17	39	27	28	63	26	42	43	32	24	58	35
Missing (or not recorded)	6	5	3	6	10	2		20	4	11	4	
Total	24	45	36	39	77	34	49	71	42	38	74	47
% informed within 24hours	70.8%	86.7%	75.0%	71.8%	81.8%	76.5%	85.7%	60.6%	76.2%	63.2%	78.4%	74.5%

2:2 Strategy meeting happens within 5 days of receipt of initial alert (% figures based on records not coded as alerts)

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
No	1		1	3	2	1	4	5	2	5	6	3
Yes	11	29	15	18	29	12	27	49	16	12	42	23
Missing (or not recorded)	11	14	15	18	29	9	9	8	12	10	15	5
Alert not applicable	1	2	5		17	12	9	9	12	11	11	16
Total	24	45	36	39	77	34	49	71	42	38	74	47
% strategy meetings within 5 days	47.8%	67.4%	48.4%	46.2%	48.3%	54.5%	67.5%	79.0%	53.3%	44.4%	66.7%	74.2%

2:3 Investigation report completed 20 days after receipt of initial alert (% figures based on records not coded as alerts)

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
No					1	2	7	9	2	2	11	6
Yes	9	31	15	17	40	9	17	39	19	11	38	21
Missing data (or not recorded)	9	10	13	16	14	8	12	10	9	14	13	4
Not reportable from Safeguarding Checklist	5	2	3	6	5	3	4	4			1	
Alert not applicable	1	2	5		17	12	9	9	12	11	11	16
Total	24	45	36	39	77	34	49	71	42	38	74	47
% Investigation reports completed within 20 days *	50.0%	75.6%	53.6%	51.5%	72.7%	47.4%	47.2%	67.2%	63.3%	40.7%	61.3%	67.7%

need to reassess data for alerts

2.4 Outcome of allegation within 28 days of receipt of initial alert (**reportable from Safeguarding Tracker only**, % figures based on records not coded as alerts)

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
No					1	1	5	6	2	2	14	10
Yes					2	2	6	15	5	10	25	12
Missing data						1	4	3	2	2	3	5
Alert not applicable					11	11	9	7	11	11	11	16
Total					46	15	24	31	20	25	53	43
% outcome of allegation in 28 days					66.7%	50.0%	40.0%	62.5%	55.6%	71.4%	59.5%	44.4%

2.5 Outcomes of **REFERRALS ONLY**

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
missing data	4	23	7	13	50	6	10	40	9	18	28	5
All work completed											1	
SG Application to Change Appointeeship		1									1	
SG Application to Court of Protection			1									
SG Community Care Assessment & Services	2	1	5	1			2	3	1		1	1
SG Increased Monitoring	1	6	8	8	1	1	10	8	8	4	7	8
SG Management of access to finances	2	1		2		1						1
SG Moved to increase/Different Care		3		1	1	1	1	1		1		1
SG No Further Action	7	5	8	6	4	8	14	5	9	2	19	10
SG Other	3	2		4	2	2	1	1	1	2	2	3
SG Referred to counselling/training											1	
SG Restriction/management of access to alleged perpetrator		1							2		2	
SG Vulnerable Adult removed from property/service	1			1		1						1
Service user has since passed away												1
Total	20	43	29	36	58	20	38	58	30	27	62	31

2.6 - Reason for case closure - **REFERRALS ONLY**

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
missing data												
All Work Completed	3		1	3	1		2	1	2	2	2	
No Further Action Required	2	5	1		1			1			3	
Other								1			1	
referred to other agency											1	
Service User has since passed away		1	1	1			1	1			2	
SG Not Determined / Inconclusive	3	6	5	9	7	7	7	9	6	9	11	6
SG Not Substantiated	7	14	7	8	15	7	17	18	12	10	22	12
SG Partly Substantiated	1	2	4	5	4	2		4	1	1	3	2
SG Substantiated	4	15	10	10	29	4	9	23	8	4	16	11
Transferred to adult social services					1		2		1		1	
transferred to service of another LA										1		
Total	20	43	29	36	58	20	38	58	30	27	62	31

2.7 Perpetrator outcomes - REFERRALS ONLY

	Oct-10	Nov-10	Dec-10	Jan-11	Feb-11	Mar-11	Apr-11	May-11	Jun-11	Jul-11	Aug-11	Sep-11
missing data	14	18	7	16	37	10	17	10	10	17	18	8
Action by contract compliance							1					
Community Care Assessment			1	1	1			2		1		
continued monitoring	1	10	6	7	8	2	3	17	6	5	10	5
disciplinary action		1					1				2	
exoneration		1					3	6	2			3
no further action	5	3	13	11	7	6	11	12	11	3	26	6
not known					1			1		1	2	
police action		3	1		1	2		5			1	5
referral to registration body											1	
referred to POVA list/ISA			1					2			2	
counselling/training/treatment		2										
action under Mental Health Act		1										
criminal prosecution/formal caution								2				
removal from property or service		1			1		1		1			1
management of access to vulnerable adult		1		1			1	1				3
action by CQC		2			2							
Total	20	43	29	36	58	20	38	58	30	27	62	31

Notes on data and data quality

The current RAISE query for completing this report is based on completed cases only so that performance reporting is at present not current as should be the case for the KPIs in tables 2.1 to 2.4

To get a feel of this compare the numbers of cases recorded in the same time from the May referral report which records referrals only but uses case start date and so pulls out all cases both open and closed

Table 2.1 - There are a number of cases in the system that are not coded as either alert or referral but since this indicator applies to all cases this has no effect here

Table 2.2 - Tries to draw out those cases which are alerts and therefore where a strategy meeting would not necessarily be applicable. Those cases not coded as alert or referral are placed under missing data

Table 2.3 - As 2.2. Cases reported using the original 'Safeguarding Checklist' form did not record this data - the numbers are recorded here and missed out of the calculation of %s

Table 2.4 - Previously on the 'Safeguarding Checklist' forms only cases placed on the AP register after 28 days were recorded, only Tracker data is used here

Tables 2.5 & 2.6 - during the 12 months shown here there have been 3 different forms for recording cases, and 3 different methods of recording outcomes. These tables include outcomes for referral cases only

Table 2.7 - Have not yet located data from the checklist for perpetrator outcomes - this data is on the whole poorly recorded

Receipt of alert

Total
511
452
59

784
576
208

Total
71
434
71
576
75.3%

Total
33
283
155
105
529
60.1%

Total
40
266
132
33
105
529
60.7%

Total
41
77
20
87
290
55.8%

Total
213
1
2
1
17
70
7
10
97
23
1
5
4
452

Total
0
17
13
2
1
7
85
149
29
143
5
1
452

Total
182
1
6
80
4
15
114
5
18
1
5
2
1
2
5
7
4
452